Theory of Cross-Phase Evolution and its Impact on ELM Dynamics

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A <u>Different</u> Look at ELM Dynamics → Thoughts on Selected Issues

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on cross phase correlation, anomalous dissipation

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on reduced models

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on anomalous dissipation

- 1) Peking University
- 2) LLNL
- 3) NFRI
- 4) UCSD



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Caveat Emptor

- Not a professional ELM-ologist
- Perspective is theoretical, and focus is on issues in understanding dynamics
- Perspective is that of a transport theorist
- Aim is to distill elements critical to model building
- Unresolved issues are discussed
- Not a review!





N.B. : Many ideas discussed here are contrary to 'conventional wisdom' of ELM-ology

↔ Locale has
 a history of
 struggle against
 group think...





Outline

- The conventional wisdom of ELMs
 - Motivation
 - Mechanism
- Some issues in ELM dynamics
 - How do bursts occur?
 - Mechanism of anomalous dissipation?
 - Assembling the 'big picture' \rightarrow sources and transport effects?





Outline, cont'd

- Recent progress on some issues:
 - i) cross phase coherence and the origin of bursts
 - ii) phase coherence as leverage for ELM mitigation
 - iii) hyper-resistivity: single scale or multi-scale!?
 - iv) a reduced model of the big picture \rightarrow importance of flux-drive
- Conclusions at this point
- Discussion: where should we go next?



- ELMs are ~ quasi-periodic relaxation events occurring at edge pedestal in H-mode plasma
- ELMs
 - Limit edge pedestal -
 - Expel impurities +
 - Damage PFC
- ELMs \rightarrow a serious concern for ITER
 - $-~\Delta W_{ELM} \sim 20\%~W_{ped} \sim 20~MJ$
 - W_{ELM} / $A \sim 10 \times$ limit for melting
 - τ_{rise} ~ 200 μsec







• ELM Types

- I, II: $ω_{ELM}$ ↑ as *P* ↑, greatest concern, related to ideal stability
- − III: $ω_{ELM} \downarrow$ as $P \uparrow$, closer to P_{Th} , unknown → resistive ??
- Physics
 - Type I, II ELM onset → ideal stability limit
 - i.e. peeling + ballooning



 Edge pressure gradient is ultimate energy source

$$- \delta W_P \sim \frac{1}{R_c} \frac{dP}{dr} \xi^2 \text{ vs } \delta W_{LB}$$

$$\leftrightarrow \text{ ballooning}$$

$$- J_{bootstrap} \sim \frac{1}{B_{\theta}(1+0.9\sqrt{\nu_*})} \frac{dP}{dr}$$

$$\leftrightarrow \text{ peeling}$$





- Some relation of ELM drive character to collisionality is observed
 - Low collisionality → peeling ~ more conductive
 - High collisionality → ballooning ~ more convective
- Pedestal perturbation structure resembles
 P-B eigen-function structure
- Many basic features of ELMs consistent with ideal MHD peeling-ballooning theory





Some Physics Questions

• What IS the ELM?

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– ELMs single helicity or multi-helicity phenomena?

Relaxation event \leftrightarrow pedestal avalanche?

– How and why do actual bursts occur?

Why doesn't turbulence force $\nabla P \sim \nabla P_{crt}$ oscillations?

- Pedestal turbulence develops during ELM. Thus, how do P-B modes interact with turbulence? – either ambient or as part of MH interaction?
- Does, or even should, the linear instability boundary define the actual ELM threshold?



Some Physics Questions

Irreversibility?

- Peeling-Ballooning are ideal modes. What is origin of irreversibility? How does fast reconnection occur?
- If hyper-resistivity is the answer (Xu et al, 2010), what is its origin – ambient micro-turbulence or P-B's themselves? Can P-B modes drive the requisite hyper-resistivity?
- What is the relation between hyper-resistivity, reconnection and heat transport, especially for 'conductive' ELMs?





Some Physics Questions

- How do the pieces fit together?
 - Do ELM events emerge from a model which evolves profiles with pedestal turbulence?
 - What profiles are actually achieved at the point of ELMs?
 - What is the minimal model in which ELMs emerge?
 - What are the necessary ingredients in a full model?
- How exploit dynamical insight for ELM mitigation?



- I) Basic Notions of ELMs:
- ELM Bursts and Thresholds as
- Consequence of Stochastic Phase Dynamics

→ See P.W. Xi, X.-Q. Xu, P.D.; PRL 2014 P.W. Xi, X.-Q. Xu, P.D.; PoP 2014 in press





Simulation model and equilibrium in BOUT++



Comparison:

Single vs Multi-Mode Dynamics

3D structure of pressure perturbation: filaments-helical coherent perturbation with outward radial motion



Images generated with VisIt

Contrastive perturbation evolution (1/5 of the torus)



- Single mode: Filamentary structure is generated by linear instability;
- Multiple modes: Linear mode structure is disrupted by nonlinear mode interaction and no filamentary structure appears 20

Single mode: ELM crash || Multiple modes: P-B turbulence



Relative Phase (Cross Phase) Dynamics and Peeling-Ballooning Amplification

Peeling-Ballooning Perturbation Amplification is set by Coherence of Cross-Phase

i.e. schematic P.B. energy equation:

NL effects

- energy couplings to transfer energy (weak)
- response scattering to de-correlate $\tilde{\phi}$, \tilde{P} regulate drive





Growth Regulated by Phase Scattering





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Phase coherence time sets growth





Cross Phase Exhibits Rapid Variation in Multi-Mode Case



- Single mode case →
 coherent phase set by
 linear growth → rapid
 growth to 'burst'
- Multi-mode case →
 phase de-correlated by
 mode-mode scattering
 → slow growth to
 turbulent state



Key Quantity: Phase Correlation Time

• Ala' resonance broadening (Dupree '66):

$$\frac{\partial}{\partial t}\hat{P} + \tilde{v} \cdot \nabla \tilde{P} + \langle v \rangle \cdot \nabla \hat{P} - D\nabla^{2}\hat{P} = -\tilde{v}_{r}\frac{d}{dr}\langle P \rangle$$
Nonlinear Linear streaming Ambient
scattering (i.e. shear flow) diffusion
$$\hat{P} = Ae^{i\phi} \qquad \text{Relative phase} \leftrightarrow \text{cross-phase}$$

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$$\hat{v} = B \qquad \text{Velocity amplitude}$$

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$$\partial_{t}\tilde{\phi} + \tilde{v} \cdot \nabla \tilde{\phi} + \langle v(r) \rangle \cdot \nabla \tilde{\phi} - D\nabla^{2}\tilde{\phi} - \frac{2D}{A} \nabla A \cdot \nabla \tilde{\phi} = 0$$

$$\text{NL scattering shearing}$$

$$\partial_{t}A + \tilde{v} \cdot \nabla A + \langle v(r) \rangle \cdot \nabla A + D(\nabla \tilde{\phi})^{2}A - D\nabla^{2}A = -B\frac{d}{dr}\langle P \rangle$$
Damping by phase fluctuations

Phase Correlation Time

• Stochastic advection:

$$\frac{1}{\tau_{ck}} = \vec{k} \cdot D_{\phi} \cdot \vec{k} + k^2 D$$

 $D_{\phi} = \sum_{k'} \tau_{ck'} \, |\tilde{v}_{\perp k}'|^2$

• Stochastic advection + sheared flow:

$$\frac{1}{\tau_{ck}} \approx \left(k_{\perp}^2 \left(D_{\phi} + D\right) \langle v_{\perp} \rangle^{\prime 2}\right)^{1/3}$$

➔ Coupling of radial scattering and Shearing shortens phase correlation

Parallel conduction + diffusion:

$$\frac{1}{\tau_{ck}} \approx \left[\frac{\hat{s}^2 k_{\perp}^2}{(Rq)^2} \chi_{\parallel} \left(D_{\phi} + D\right)\right]^{1/2}$$

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➔ Coupling of radial diffusion and conduction shortens phase correlation



What is actually known about fluctuations in relative phase?

 For case of P.-B. turbulence, a broad PDF of phase correlation times is observed





Implications for: i) Bursts vs Turbulence ii) Threshold





Bursts, Thresholds

- P.-B. turbulence can scatter relative phase and so reduce/limit growth of P.-B. mode to large amplitude
- Relevant comparison is:

 γ_k^L (linear growth) vs $\frac{1}{\tau_{ck}}$ (phase de-correlation rate)

• Key point: Phase scattering for mode \vec{k} set by 'background modes \vec{k}' ' i.e. other P.-B.'s or micro-turbulence



0.07 0.06 a=2.17 (a)a=2.23 0.05 .=2 29 ₩ 0.04 0.03 a=2.35 **P-B turbulence** $\alpha = 2.44$ 0.02 $\gamma(n)\tau_c(n) < \ln 10$ 0.01 0.00 0.12 $\alpha = 2.29$ (b) n=0 n=25 0.10 n=5 n=30 0.08 d/²⁴ 0.06 n=35 n=10 n=40 n=15 n=45 n=20 **Isolated ELM crash** 0.04 0.02 ONIT $\gamma(n)\tau_c(n) > \ln 10, n = n_{dom}$ 0.00 0.12 α=2.44 (c) n=0 n=25 0.10 $\gamma(n)\tau_c(n) < \ln 10, n \neq n_{dom}$ n=5 n=30 0.08 0.06 00 00 n=35 n=10 n=15 n=40 n=20 n=45 0.04 0.02 0.00 0.15 2 δφ ο P-B turbulence **growth rate** -2n=20. δΦ =20 /4 -4 ELM crash 2 δφ 0 n=20. δα 0.00 =20 AF 30 <mark>n</mark> 10 20 50 0 40 60

400

200 t (τ_A)

300

100

n

The shape of growth rate spectrum determines burst or turbulence

So When Does it Crash?

Modest $\gamma(n)$ Peaking \rightarrow P.-B. turbulence



Weak radial extent •

Stronger Peaking $\gamma(n) \rightarrow$ ELM Crash





- ELM crash is triggered
- Wide radial extension

$$\alpha = -2\mu_0 R P_0' q^2 / B^2$$

$\gamma(n)$ Peaking VERY Sensitive to Pressure Gradient



Filamentary structure may not correspond to that of the most unstable mode due to nonlinear interaction



□ Triggering ELM and the generation of filamentary structure are different processes!

- ✓ ELM is triggered by the most unstable mode;
- ✓ Filamentary structure depends on both linear instability and nonlinear mode interaction.
What is the Threshold for a Crash?

Linear criterion for the onset of ELMs $\gamma > 0$ is replaced by the nonlinear criterion

 $\gamma > \gamma_c \sim 1/\tau_c$



- γ_c is the critical growth rate which is determined by nonlinear interaction in the background turbulence
- N.B. 1 / au_c and thus γ_{crt} are functionals of $\gamma_L(n)$ peakedness

Nonlinear Peeling-ballooning model for ELM:



 $[\]succ \gamma < 0$:

Linear stable region

 \succ 0 < γ < γ_c : Turbulent region Possible ELM-free regime \rightarrow Special state: EHO, QCM (?!)

 $\succ \gamma > \gamma_c$: **ELMy region**

✓ Different regimes depend on both linear instability and the turbulence in the pedestal.

Including all relevant linear physics Resistivity / Electron inertia /...

→ Turbulence can maintain ELM-free states

Partial Summary

- Multi-mode P.-B. turbulence or ~ coherent filament formation can occur in pedestal
- Phase coherence time is key factor in determining final state and net P.-B. growth
- Phase coherence set by interplay of nonlinear scattering with 'differential streaming' in \hat{P} response
- Key competition is γ_L vs 1 / $\tau_c \rightarrow$ defines effective threshold
- Peekedness of $\gamma(n)$ determines burst vs turbulence





How can these ideas be exploited for ELM mitigation and control?





ELMs can be controlled by reducing phase coherence time



• ELMs are determined by the product $\gamma(n)\tau_c(n)$;

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- Reducing the phase coherence time can limit the growth of instability;
- Different turbulence states lead to different phase coherence times and, thus different ELM outcomes





- Scattering field
- 'differential rotation' in \hat{P} response to \hat{v}_r
 - \rightarrow enhanced phase de-correlation

Knobs:

- ExB shear
- Shaping
- Ambient diffusion

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- Collisionality

Mitigation States:

- QH mode, EHO
- RMP
- SMBI



Scenarios

• QH-mode

- enhanced ExB shear
$$\rightarrow \frac{1}{\tau_c} \rightarrow (k_{\perp}^2 D \langle V_E \rangle^2)^{1/3}$$

- Triangularity strengthens shear via flux compression
- Enhanced de-correlation restricts growth time

Also:

- Is EHO peeling/kink + reduced τ_c ?
- $-\langle V_E \rangle'$ works via γ_L and τ_c

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N.B. See Bin Gui, Xu; this meeting for more on shearing effects



Scenarios

• RMP

$$- \frac{1}{\tau_c} = \left(\frac{k_{\perp}^2 \hat{s}^2}{(Rq)^2} \chi_{\parallel} D\right)^{1/2} \qquad D = D_{\phi} + D_{am \ b}$$

- RMP → $D_{am b}$ ↑ → enhanced de-correlation

or

- Enhanced flow damping \rightarrow enhanced turbulence \rightarrow increased D_{ϕ}
- SMBI
 - − enhanced D_{ϕ} → reduced τ_c ?

and/or

- Disruption of pedestal avalanches?





II) Reconnection and Hyper-resistivity





Some Basics

- P.-B.'s are ideal modes ↔ frozen-in law… !?
- ELM phenomena requires irreversibility for:
 - field-fluid decoupling, reconnection
 - Transport, cross field
 - Magnetic stochastization
- What is mechanism of fast reconnection for ELM? Resistivity unlikely...
- $S \ge 10^8$ in pedestal \rightarrow hyper-resistivity becomes natural candidate





• Hyper-resistivity!? – Electron momentum transport

i.e. $E_{\parallel} = \eta J_{\parallel} + \nabla_{\perp} \mu_H \nabla_{\perp} \hat{J}_{\parallel}$ \perp transport of parallel current - ambient micro-turbulence - P.-B. turbulence

- Xu et al 2010 \rightarrow Hyper-resistivity ~ χ_e needed to dissipate current sheets, so as allow ELM crash
- Hyper-resistivity generally can trigger fast reconnection

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Current 25 layer i.e. Sweet-Parker: - resistive: $\frac{V}{V_A} \sim \frac{1}{\sqrt{R_m}}$ 20 .__ 15 10 - hyper-resistive: $\frac{V}{V_A} \sim \frac{1}{(R_m u)^{1/4}}$ 5 0.4 1.2 0.6 0.8 1.0 Origin? Ψ_{nor}

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Simplest Approach: Electron inertia + MHD

i.e. Ohm's law becomes:

$$\frac{m_e}{e} \frac{d}{dt} \tilde{v}_{\parallel e} + \tilde{E}_{\parallel} = \eta \tilde{J}_{\parallel}$$
Electron inertial effect \rightarrow electron momentum
Scale : $\frac{c}{dt}$

Low
$$n \rightarrow \rho_i \sim c/\omega_{pe}$$

→ significant effect on linear growth for $k_{\perp} \left(\frac{c}{\omega_{pe}}\right) \sim O(1)$

P.-B. \rightarrow 'hyper-resistivity' ballooning mode...

Examine impact on nonlinear evolution... → self-consistent crash?



Electron inertia and P-B turbulence cannot generate enough current relaxation for ELM crash



• Interesting candidate for hyper-resistivity

→ ETG turbulence in pedestal?!

- ETG indicated by pedestal micro-stability studies \rightarrow survive $\langle V_E \rangle'$
- Mechanism is advection of electron momentum

 $\begin{array}{c} \text{ITG} \\ \chi_{\phi} \sim \chi_{i} \end{array} \longleftrightarrow \begin{array}{c} \text{ETG} \\ \mu_{H} \sim \chi_{i} \end{array}$

ETG \rightarrow hyper-resistivity linked to $\mu_H \sim \chi_e$ pedestal electron heat transport

•
$$\mu_H \approx \left(\frac{c}{\omega_{pe}}\right)^2 C D_{GBe} \qquad D_{GBe} \leftrightarrow L_{Te}^{-1}$$

anisotropy factor

• Modulation of driving ∇T_e by P.-B.'s crucial effect





Feedback Structure



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evolution due P.-B.



Partial Summary

- Hyper-resistivity required for dissipation of P.-B. current sheets, and crash
- P.B. + electron inertia insufficient to trigger fast reconnection
- Multi-scale approach to current dissipation is required
- ETG is interesting candidate for origin of μ_H
- Considerable further work required



III) Towards a 'Big Picture'

- Is there a 'minimal' model of ELMs?
- What are the key ingredients?
- Might this help us understand ELM-related phenomena better?

→ See: W. Xiao, et al; NF 2013 T. Rhee, et al; PoP 2012





Needed: Simple Model...

N.B. full ELM phenomena far beyond "First Principle" Simulations!

- Minimal Model of Pedestal Dynamics
- Necessary Ingredients:
 - Bi-stable flux \rightarrow capture turbulence, transport, L \rightarrow H transition
 - Fixed ambient diffusion \rightarrow capture (neoclassical) transport in H-mode pedestal
 - N.B. key: how does system actually organize profiles for MHD activity??
 - Hard stability limit \rightarrow capture MHD constraint on local profile. Can be local. (i.e. ballooning $\leftarrow \rightarrow \nabla P$) or integrated (i.e. peeling $\leftarrow \rightarrow J_{BS} \sim \int dr \nabla P \sim P_{ped,top}$

N.B. Transport vs 'hard stability'?

→
$$Q \sim C \left(\frac{L_{P_{crit}}}{L_{P}} - 1\right)^{\alpha}$$
 : *c*, *α* large for 'hard stability limit'

Sandpile (Cellular Automata) Model

- Toppling rule: $Z_i Z_{i+1} > Z_{crt}$ topple Y_i cells \rightarrow move adjacent
- Bi-stable toppling:

 $Z_i - Z_{i+1} > Z_{at1} \rightarrow$ toppling, threshold, transport

 $Z_i - Z_{i+1} > Z_{at2}$, $Z_{at2} > Z_{at1} \rightarrow$ no toppling, transport bifurcation



Sandpile Model, cont'd:

- Constant diffusion → neoclassical transport (discretized)
- N.B. Bi-stable toppling + diffusion \rightarrow S-curve model of flux



- Hard Limit $\rightarrow Z_i Z_{i+1} > Z_{hard} \rightarrow$ topple excess Z_i according to rule
- Drive:
 - Random grain deposition, throughout
 - Additional "active grain injection" in pedestal, to model SMBI

L→H Transition

• Now try bi-stable toppling rule, i.e. if $Z_i - Z_{i+1}$ large enough

→ reduced or no toppling

- Obvious motivation is $Q = -\frac{\chi \nabla P}{1 + \alpha V'_E^2}$ and $V_E \approx \frac{c}{eB} \frac{\nabla P}{n}$
- Hard gradient limit imposed
- Transitions happen, pedestal forms!



Note

- Critical deposition level required to form pedestal ("power threshold")
- Pedestal expands inward with increasing input after transition triggered
- Now, including ambient diffusion (i.e. neoclassical)
 - N_F threshold evident
 - Asymmetry in L \rightarrow H and H \rightarrow L depositions



Gruzinov PoP2003

Hysteresis Happens!

- Hysteresis loop in mean flux-gradient relation appears for $D_0 \neq 0$
- Hysteresis is consequence of different transport mechanisms at work in "L" and "H" phases
- Diffusion 'smoothes' pedestal profiles, allowing filling limited ultimately by large events



ELMs and ELM Mitigation

- ELMs happen!
- Quasi-periodic Edge Relaxation Phenomena (ELM) self-organize. Hard limit on $\nabla Z \ (\nabla P)$ is only MHD 'ingredient' here
- ELM occurs as out \rightarrow in and in \rightarrow out toppling cascade



Pedestal→ ELM 50 100

ELM Properties

- Periodic with period $\sim 10^{-2} \tau_p$. τ_p = grain confinement time
- ELM flux ~ 500 diffusive-flux
- ELMs span pedestal
- Period ←→ pedestal re-fill (approximate)

The What and How of ELMs?

What?

- ELMs are a burst sequence of avalanches, triggered by toppling of 'full pedestal'
- ELMs are not global (coherent) eigen-modes of pedestal

The What and How of ELMs?

How?

- Toppling cascade:
 - Void forms at boundary, at hard limit
 - Propagates inward, to top of pedestal, triggering avalanche
 - Reflects from top of pedestal, becomes a bump

(N.B. core is subcritical \rightarrow void cannot penetrate)

- Bump propagates out, causing further avalanching
- Bump expelled, pedestal refills





N.B. ELM phenomena appear as synergy of H-phase, diffusion, hard limit

With Active Grain Injection (AGI):

• AGI works by adding a group of grains over a period τ_{dep}



- Obviously, model cannot capture dynamics of actual SMBI, time delay between injection and mitigation. See Z. H. Wang for injection model
- Model can vary strength, duration, location

Results with AGI

 AGI clearly changes avalanche distribution, and thus ELM ejection distribution



- Mitigation due fragmentation of large avalanches into several smaller ones
- Injection destroys coherency of large avalanches by triggering more numerous small ones
- Consistent with intuition



Edge Flux Evolution (in lieu D_{α})

- A/A_0 drops, f/f_0 increases
- An "influence time" τ_I is evident \rightarrow duration time of mitigated ELM state
- $\tau_I \sim 5 \tau_{ELM}$



AGI tends to reduce gradient at deposition region

- Drive triggers local toppling → prevents
 recovery of local gradient
- 'flat spot' is effective beach, upon which avalanches break
- *τ_I* is recovery time of deformed local gradient
- Related to question of optimal deposition location



Which deposition location is optimal?

- Clue: deep deposition, at top of pedestal, allows avalanches to re-establish
 coherence 'behind' deposition zone
- Clearly desirable to prevent large avalanches from hitting the boundary
- points toward deposition at base of pedestal as optimal



Results of Study on Deposition



 $X \rightarrow \text{location}$ $Y \rightarrow \text{injection intensity}$

Color: Red high Purple low

Results of model study point toward optimal deposition near pedestal base

- Study suggests optimal location slightly inside pedestal base
- Here $20 \le i \le 100 \rightarrow$ pedestal domain

Here \rightarrow optimal location ~ 80

Summary of Reduced Model Results

- ELM phenomena emerge from synergy of bi-stable turbulence, ambient diffusion and hard gradient limit. ELM appears as result of avalanche in pedestal
- N.B. Multi-mode interaction necessarily triggers avalanching
- SMBI mitigation may be understood as a consequence of fracturing of pedestal-spanning avalanches





Conclusions – Coarse Grained





Conclusions

- ELM phenomena are intrinsically multi-mode and involve turbulence
- P.-B. growth regulated by phase correlation
 - \rightarrow determines crash + filament vs turbulence
- Phase coherence can be exploited for ELM mitigation
- Hyper-resistivity dissipation is likely a multi-scale phenomena
- ELMs appear as pedestal avalanching in reduced model


Where to Next?





- Simulations MUST move away from IVP even if motivated by experiment – and to dynamic profile evolution, with:
 - sources, sinks i.e. flux drive essential
 - pedestal transport model
 - anomalous electron dissipation
 - i.e. \rightarrow what profiles are actually achieved?
 - how evolve near P.-B. marginality?





- Should characterize:
 - pdf of phase fluctuations, correlation time
 - Dependence on τ_c control parameters
 - Threshold for burst
- Need understand feedback of P.-B. growth on turbulent hyper-resistivity
- Continue to develop and extend reduced models.



